

Regulatory Technologies (RegTech) – Moderate Series

Objective of the Course: Objective of the Course is to cover all aspects of Regulatory Technologies (RegTech) whereby we are covering all Regulatory Aspects, Technological Aspects from Corporate, Banks point of view. Course is covering more than 7 RegTech Technologies in detail.

Session I: Overview of Regulatory Technologies (RegTech): - Session would be covering about details of Regulatory Technologies whereby we would be touching down more than 7 RegTech in detail.

- Basel III
- Internal Capital Adequacy & Capital Assessment Process (ICAAP)
- Internal Liquidity Adequacy Assessment Process (ILAAP)
- BCBS 2329
- Dodd Frank (DF)
- Fundamental Review of Trading Book (FRTB)
- Global Data Prevention Regulation (GDPR)

Session II: Basel III: - Session would be covering about detailed aspects of Basel III whereby we covering the following.

- Approaches for Credit, Market, Operational Risk
- Risk Weighted Assets (RWA) – Front, Middle, Back Office
- CCR – Approaches & Models
- Credit Valuation Adjustment (CVA)
- Collateral Management & Market Risk

Session III: Internal Capital Adequacy & Capital Assessment Process (ICAAP): - Session would be covering about detailed aspects of Internal Capital Adequacy & Capital Assessment Process (ICAAP) whereby we cover the following.

- Pillar 1, Pillar 2 Capital Shortfall
- Risk & Capital Limits
- Operational Limits Systems
- ICAAP Benchmarking
- ICAAP Risk Management Processes

Session IV: Internal Liquidity Adequacy Assessment Process (ILAAP): - Session would be covering detailed explanation of Internal Liquidity Adequacy Assessment Process (ILAAP) whereby we covering the following.

- Sound Governance of ILAAP
- Internal Review & Validation
- ILAAP Risk Management Framework
- ILAAP Risk Management Governance

Session V: BCBS 2329: - Session would be covering detailed explanation of BCBS 2329 whereby we would be covering the following.

- Strategy & Governance
- Processes & Controls
- Data & Technology
- Stress Testing
- Risk Matrices & Measures

Session VI: Dodd Frank: - Session would be covering about detailed explanation of Dodd Frank for Corporates, Banks and also would be covering Dodd Frank from the prospective of US Banks.

Regulatory Technologies (RegTech) – Moderate Series

- The Volker Rule
- The Consumer Protection Financial Bureau (CFPB)
- Capital and Liquidity Requirements
- The Financial Stability Oversight Council (FSOC)
- Derivatives Regulations
- Too Big to Fail

Session VII: Fundamental Review of Trading Book (FRTB): - Session would be covering about detailed introduction to Fundamental Review of Trading Book (FRTB) whereby we covering the following.

- IMA Liquidity Horizons
- IMA Non Modellable Risk Factors (NMRF)
- SBA Simplifications
- SBA Securitizations
- Bank Book
- Trading Book

Session VIII: Global Data Prevention Regulations (GDPR): - Session would be covering about detailed introduction to Global Data Prevention Regulation (GDPR) whereby we covering the following.

- Principles of GDPR
- Individual Rights
- Accountability, Security & Breach notifications
- Regulators
- Enforcement

Session IX: Case Studies: - Regulatory Technologies (RegTech) would be covering about Case Studies of G7 Banks like Goldman Sachs (GS), Credit Suisse (CS), JP Morgan Chase (JPM), Standard Chartered Bank (SCB), Bloomberg, Thomson Reuters covering complete implementation of RegTech in their books across all entities.

- ❖ **Course Coverage :** Course to get covered using Webinars , Skype Conference Calls , Audio , Video Calls. Sessions are highly interactive and participants are most welcome to ask any questions during the Course.
- ❖ **Course Duration :** Course duration is 40 Hrs + 2 Hrs of Complimentary Skype Call which to be taken within 3 Months of completion of the Course.
- ❖ **Course Material**
 - Course Presentations – 100% interactive, Screenshots , Examples
 - Live Financial Terminals covering Hedging Part
 - Live Corporate Case Studies
 - Excel Solvers

Course is 100% Practical , Software Oriented in nature. We would be covering the Course using Case Studies, Live Financial Terminals like Thomson Reuters, Bloomberg, Meta Trader 4 (MT4), Meta Trader 5 (MT5) and Excel Solvers. Course is 100% Practical in nature and covering all aspects of Trade Finance.