



**Treasury Consulting LLP – An Asia Pacific  
Company having 8 Business Verticals  
& 44 Business Domains**

**Few Salient Features: -**

- ❖ **Global Presence**
- ❖ **Clients across the Globe**
- ❖ **8 Business verticals**
- ❖ **44 Business Domains**
- ❖ **\$ 5 Million Valuation**
- ❖ **SG Subsidiary by Jan'18**
- ❖ **Launching World's first Online  
– Fixed Income Platform in Jan'18**



**About this Course**

Money Laundering & Terrorism Financing have developed into an ever-expanding remit for all in financial services. Local Regulations, International sanctions, and counter proliferation financing are all putting ever higher premiums on getting Customer Due Diligence (CDD) right initially and ensuring it stays that way.

The widespread adoption of a risk-based approach poses real problems of making judgments on what constitutes “Enhanced Due Diligence” for “higher risk.” Regulators across the globe and inter-governmental bodies such as the FATF are increasingly making judgment on firms’ and countries’ judgments in these matters. The demands made by changes to corporate governance requirements add to pressures on firms to demonstrate compliance beyond doubt.

This Master Class combines aspects of current and emerging money laundering & terrorism financing risks with case studies and examples to arrive at an integrated, practical anti-money laundering “AML” and counter terrorism financing “CTF” regime against which firms can benchmark their own approach.

**Course Duration** 2 Days

**Who should attend??**

- ❖ Chief Compliance Officers
- ❖ Compliance Officers
- ❖ Money Laundering Reporting Officers
- ❖ Banks Oversight Functions
- ❖ Corporate Treasurers
- ❖ Internal Auditor
- ❖ Chief Risk Officers
- ❖ AML/CTF Officers
- ❖ Branches Compliance Officers
- ❖ Heads of Financial Crimes
- ❖ Investigation Officers
- ❖ External Auditors

**Learning Objectives**

- ❖ Learning the AML/CTF best practices
- ❖ Awareness about the latest AML/CTF trends
- ❖ Getting acquainted with the AML/CTF red flags
- ❖ Knowing how to implement an effective AML/CTF Risk based program
- ❖ Ensuring compliance with the international sanctions & avoiding noncompliance risks
- ❖ Getting equipped to fight financial crimes and protect your organization

**Day 1 Trainings Schedule:****Session 1: Money Laundering, Terrorism Financing – An Ongoing Threat**

- ❖ Money Laundering Vs Reverse Money Laundering
- ❖ Money Laundering & Terrorism Financing
- ❖ Emerging Trends of Money laundering (Asia Pacific Economies like Singapore, Malaysia, Thailand, Philippines, Australia, Tokyo)
- ❖ New Dimensions to Money Laundering (Asia Pacific Economies)
- ❖ Key lessons from enforcement cases (Asia Pacific Economies)

**Modus Operandi of the Session 1 of the Trainings:** - Session would be covering detailed description of various aspects of Money Laundering, Reverse Money Laundering, Trends of Money Laundering in Asia Pacific Countries like Singapore, Malaysia, Thailand, Philippines. Trainer would be covering the same using live case studies supported by live You Tube Videos, AML Excel Frameworks and last but not the least some case laws on Money Laundering.

**Session 2: The International AML/CTF Standards ~Wolfsburg Principles**

- ❖ Introduction to Financial Action Task Force “FATF”
- ❖ Wolfsburg Principles
- ❖ Proceeds of Crime Act 2002
- ❖ HMT Financial Sanctions List
- ❖ Electronic Identification of Financial Sanctions
- ❖ FCA Regulator

**Modus Operandi of the Session 2 of the Trainings:** - this session would be dedicated to Global AML Principles known as Wolfsburg Principles and respected acts.

**Session 3: AML/CTF Policies & Procedures**

- ❖ Case Studies covering Asia Pacific Banks having AML & CFT Policy
- ❖ Procedures Manual
- ❖ Elements of an Effective AML/CTF Policy
- ❖ Enforcing the AML/CTF policy
- ❖ AML/CTF departmental Self Assessments
- ❖ AML/CTF Audit & Inspection

**Modus Operandi of the Session 3 of the Trainings:** - Session would be covering various Questionnaires which in turn would cover various Procedure manual pertaining to AML. Trainer would be covering the same using various Microsoft Excel based Questionnaires to be circulated amongst all participants along with circulation of printed Procedure Manuals of Singapore, Malaysia and Thailand.

**Session 4: Money Laundering Reporting's Officer (MLRO)**

Session would be covering as how Bank can appoint MLRO and also defined his Roles & Responsibilities + Technological Implementation for MLRO

Round Table Conclusion of the Session + Q&A

**Day 2 Trainings Schedule:****Session 1: Designing & Implementing an Effective Risk Based AML/CTF Program**

- ❖ Training and Awareness
- ❖ Independent Testing of the program
- ❖ Senior Management approval
- ❖ Risk Assessments and Procedures

**Modus Operandi of the Session 1 of the Trainings:** - Session would be covering various Risk Assessment Procedures in Banks, Hedge Funds, Corporates, NBFC, and Financial Institutions. Trainer would be covering the same using Questionnaires, Case Laws and Case Studies of few selected Corporates at Asia Pacific level.

**Session 2: Financial Intelligence Units (FIU)**

- ❖ Financial Intelligence Units (FIU) & Financial Crimes
- ❖ Anti-Money Laundering Forum (AMLO)
- ❖ Money Laundering Reporting Officer (MLRO)

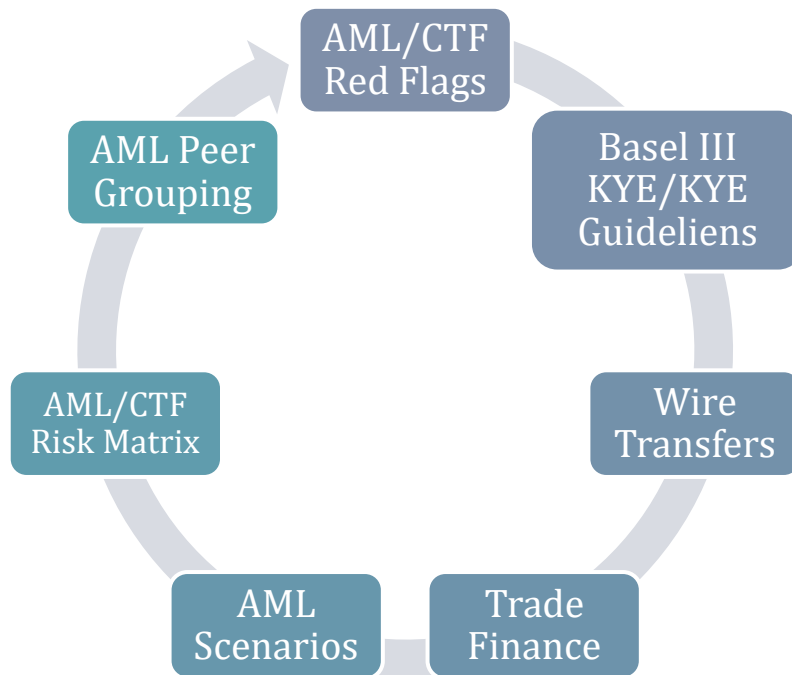
**Modus Operandi of the Session 2 of the Trainings:** - Session would be covering detailed description of Financial Intelligence Units (FIU) of various countries along with the detailed role of FIU. We would be covering Anti Money Laundering Forum of Thailand and what is the exact role of Anti Money Laundering Forum??

**Session 3: Financial Crime**

- ❖ Suspicious Activity Report (SAR)
- ❖ Suspicious Transaction Reporting (STR) @ Manual
- ❖ Suspicious Transaction Reporting (STR) @ EBAM
- ❖ Suspicious Transaction Reporting (STR) @ IDEA 10.2

**Modus Operandi of the Session 3 of the Trainings:** - Session would be covering detailed description of how Suspicious Transaction Reporting (STR) is covered under three ways – Manual, Electronic Banking Account Management (EBAM) and Analytics tools like IDEA 10.2

Round Table Conclusion of the Session + Q&A

**Technical Workshop - Anti Money laundering /FIU/ Financial Crimes**

Workshop would be covering topics from AML/CTF Red Flags till AML/CTF Risk Matrix in 4 Hrs. period.

The following would be the modus operandi of the workshop: -

- ❖ Live Case Study covering largest American Bank JP Morgan Electronic Banking Platform
- ❖ Excel based Scenarios covering AML/CTF
- ❖ Questionnaire covering AML/CTF Risk Matrix using big American Banks
- ❖ Round Table discussions on AML
  - ❖ FINCEN (Financial Crimes Enforcement Network)
  - ❖ BSA (Banking Secrecy Act)
  - ❖ SAR (Suspicious Activity Report)
  - ❖ HIFCA (High Intensity Financial Crime Area)
  - ❖ OFAC (Office of Foreign Assets Control)
  - ❖ SDN (Special Designated Nationals)
- ❖ AML Peer Grouping Guidelines

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